

FILED
U.S. DISTRICT COURT
DISTRICT OF NEBRASKA

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEBRASKA

2019 FEB 15 PM 3:35

OFFICE OF THE CLERK

UNITED STATES OF AMERICA,

) CASE NO. 8:17CR309

Plaintiff,

) PETITION TO ENTER A
PLEA OF GUILTY

vs.
Steven R. Carlson
Defendant

I, Steven Carlson, the defendant inform the judge that I want to plead GUILTY to Count(s) I of the (indictment or superseding indictment or information) and that the following answers are true:

A. BACKGROUND QUESTIONS:

1. How old are you? 38
2. How much education have you had? 10th grade, South H.S.
3. Have you ever been under the care of a doctor or in a hospital or institution for drug or alcohol treatment or addiction?
If so, when and where? 2008, Lashings, Hope
Yes No
4. Have you ever been under the care of a doctor or in a hospital for a mental or emotional condition?
If so, when and where? unk date, Lashings, Hope
Yes No
5. If an attorney is now representing you in this case:
 - a) What is your attorney's name? Kelly Steenbok
 - b) Have you had enough time to talk with your attorney ?
Yes No
 - c) Have you told your attorney everything about your case?
Yes No
 - d) Are you satisfied with the job he or she has done for you?
Yes No

- e) If you have any objections to the way the attorney has represented you, what are those objections?

6. Do you understand the charge(s) against you?

Yes No _____

B. CONSTITUTIONAL RIGHTS - WAIVERS

The questions in this section are designed to inform you of valuable constitutional rights you will give up by pleading guilty.

7. Do you understand that you have a right to plead NOT GUILTY to every charge filed against you?

Yes No _____

8. Do you understand that, if you plead NOT GUILTY, you have the following constitutional rights:

- a) the right to a speedy and public trial by jury

Yes No _____

- b) the right to an attorney at all stages of the proceedings, and, if you cannot afford to pay an attorney, one will be appointed to represent you

Yes No _____

- c) the right to see and hear all witnesses called to testify against you and the right to cross-examine them

Yes No _____

- d) the right to use the court's subpoena power to compel the attendance of witnesses and the production of other evidence at trial

Yes No _____

- e) the right to take the witness stand or not, as you choose, and that you cannot be required to take the witness stand

Yes No _____

- f) the right not to testify and the jury cannot take this as evidence against you

Yes No _____

- g) the right to be presumed innocent until and unless the United States has proven you guilty of the offense beyond a reasonable doubt by the unanimous agreement of all 12 jurors.

Yes No _____

9. Do you understand that, if you plead GUILTY, you will be found guilty of the offense without a trial and you will have given up all of the above rights, except the right to an attorney?

Yes No _____

10. Do you understand that, if you plead GUILTY to a felony offense, you will be convicted of a felony? A felony conviction may deprive you of valuable civil rights, such as the right to vote, to hold public office, to serve on a jury and to possess any kind of firearm.

Yes No _____

11. Do you understand that if you are not a United States citizen, that under immigration law, your guilty plea will be considered by immigration officials in determining whether you are deported, that is, removed from the United States?

Yes _____ No _____

12. Do you understand that in nearly all cases involving drug trafficking and in most federal felony cases, if you are not a U.S. citizen your guilty plea will result in your permanent removal from the United States?

Yes _____ No _____

C. SENTENCING - GENERAL

The questions in this section are designed to assure the judge that you understand aspects of the sentencing process.

Answer question 13 only if you are pleading guilty pursuant to an 11(c)(1)(C) agreement. Otherwise, move on to question 14:

13. Do you understand that the judge may accept or reject your plea agreement? If the judge rejects your plea agreement, you may withdraw your guilty plea. If the judge accepts your plea agreement, the judge must follow its terms. That is, the judge must impose any specific sentence or apply the sentencing range, specific Sentencing Guidelines provisions, policy statements, or sentencing factors agreed upon by you and the government in the plea agreement. Your sentence will follow the terms of the agreement, which may be the same, greater or lesser than the sentence you would have received had you pleaded not guilty and had been convicted by a jury.

Yes No _____

If you answered question 13, skip question 14 and proceed to question 15.

14. Do you realize that:

- a) if you plead GUILTY, the judge may impose the same punishment as if you had pleaded NOT GUILTY and had been convicted by a jury?

Yes _____ No _____

- b) the sentence you will receive is solely a matter for the judge to decide?

Yes _____ No _____

- c) there is no guarantee your sentence will be within any particular sentencing guideline range?

Yes _____ No _____

15. What are the mandatory minimum and maximum punishments required by law for the offense or offenses to which you are pleading guilty?

Count	Imprisonment		Fine		Supervised Release		Special Assessment
	min	max	min	max	min	max	
I	25	50	250,000	250,000	5	life	\$100

+ \$5000
additional
special

16. Do you understand that probation is generally not available if there is a mandatory minimum penalty?

Yes No _____

17. Will you be forfeiting any property to the United States as a result of your guilty plea?

Yes _____ No

18. Do you realize that, if you plead GUILTY, the judge may require you to make restitution to any victim of the offense?

Yes No _____

19. Do you understand that, if you are convicted of any offense consisting of possession or distribution of controlled substances, you may be ineligible for any and all federal benefits?

Yes _____ No _____

20. Do you realize that the judge must require you to pay on each count to which you are convicted a special assessment as follows: each felony count \$100; each misdemeanor count \$5 to \$25?

Yes No _____

21. If you are on probation, parole or supervised release from any court, do you know that by pleading GUILTY here your probation, parole or supervised release may be revoked and you may be required to serve time in that case in addition to any sentence imposed upon you in this case?

Yes No _____

22. The presentence report is instrumental in determining appropriate sentencing decisions, risk classification in the Bureau of Prisons, and identifying strategies that will provide you with the greatest opportunity for success. Full participation in the presentence process, including an interview, is your opportunity to provide a detailed account of your background and any other factors that could have an impact on your success. The U.S. Probation Office believes your failure to fully participate in the presentence investigation may limit sentencing options, programming availability, and other aspects of supervision. Have you discussed this with your attorney?

Yes No _____

D. ADVISORY SENTENCING GUIDELINES

You will be sentenced by the judge after consideration of the advisory federal sentencing guidelines and other important pertinent factors. It is important that you understand certain consequences of these guidelines.

23. Have you spoken in detail with your attorney about the advisory sentencing guidelines?

Yes No _____

24. Have you thoroughly discussed with your attorney the sentencing table and the concepts of "offense level" and "criminal history"?

Yes No _____

25. Do you understand that there are numerous factors that may increase your sentence under the advisory sentencing guidelines?

Yes No _____

26. Do you understand that the judge is required to take into account all conduct, circumstances, and injuries associated with your criminal conduct, whether or not this conduct is charged by the government in the crime to which you are pleading guilty? Thus, under the advisory sentencing guidelines, the judge will consider

all relevant conduct at the time of sentencing, even if you are pleading guilty to less than all counts in the indictment.

Yes No _____

27. Do you understand that parole has been abolished in the federal system? Thus, if you are sentenced to a term of imprisonment, you will serve that term, less no more than 54 days per year you earn for good conduct. There is no good conduct time awarded on sentences of less than one year and one day.
Yes No _____
28. Do you understand that, if you are sentenced to a term of imprisonment, the judge will typically impose a period of supervised release to follow your release from imprisonment?
Yes No _____
29. Do you understand that during any period of supervised release your activities will be limited by conditions set by the judge and that violation of any of those conditions may result in the judge's revoking the term of supervised release, requiring you to serve in prison all or part of the term of supervised release without credit for time previously served on post-release supervision, and imposing another term of supervised release?
Yes No _____

E. VOLUNTARY NATURE OF PLEA

30. Are your plea of GUILTY and the waivers of your rights made voluntarily and completely of your own choice, free of any force or threats from anyone?
Yes No _____
31. a) Has any plea agreement been made by you with anyone which causes you to plead GUILTY?
Yes No _____
- b) Are all the terms of the plea agreement included in the written agreement?
Yes No _____
- c) Do you understand that the judge may reject the agreement, if the judge finds that the plea agreement is not in the interest of justice?
Yes No _____
32. Has anyone made any promise that causes you to plead GUILTY, aside from the promises, made in your plea agreement?
Yes _____ No
33. Has any officer, attorney or agent of any branch of the government (federal, state or local) promised, suggested or predicted that you will receive a lighter sentence, or probation, or any other form of leniency if you plead GUILTY?

Yes _____ No

34. Has the judge suggested what your actual sentence will be?
Yes _____ No
35. Are you under the influence of any kind of alcohol, medicine or drug that is, in the least way, interfering with your ability to think clearly and understand exactly what you are doing in answering these questions?
Yes _____ No
36. Are you pleading GUILTY for any reason other than the fact that you are guilty
Yes _____ No
37. Is there any other information or advice that you want before you enter a plea?
Yes _____ No

F. CONCLUSION / FACTUAL BASIS

38. Has your attorney gone over all of these questions and your answers to them?
Yes No _____
39. Do you understand all of these questions?
Yes No _____

If not, which questions don't you understand?

40. Are you GUILTY?
Yes No _____
41. What acts did you do that cause you to think you are guilty of the charge(s) to which you want to plead GUILTY?

I agree to the factual basis contained in the plea agreement. Sutor B.

42. **Limited English proficient defendants must answer the following question:**
This petition and the other documents referred to in the petition including any written plea agreement were read to me in my native language by an interpreter. The interpreter also interpreted all questions and answers between me and my attorney in completing the above documents. I understood the interpretation of the above documents and of the questions and answers between me and my attorney. Is this true?

Yes No

Signed in the presence of my attorney this 15 day of January, 2019
Steve Carlson
Defendant

CERTIFICATE OF DEFENSE ATTORNEY

I, as attorney for the defendant, hereby certify that:

1. I have read and fully explained to the defendant the allegations contained in the indictment or information in this case.
2. The plea of guilty offered by the defendant to count(s) _____ accords with my understanding of the facts the defendant has related to me, is consistent with my advice to the defendant, and in my opinion is voluntarily and understandingly made.
3. I assure the court that I have advised the defendant about the sentencing procedures under the advisory sentencing guidelines and 18 U.S.C. Section 3553(a) and have explained to the defendant the potential consequences of a plea of guilty in light of the matters set out in section D of this petition.

Signed by me in the presence of the defendant and after full discussion of the contents of this petition to enter a plea of guilty, this 15 day of January 2019

Kay M. Gurbak
Attorney for the Defendant

CERTIFICATE OF PROSECUTING ATTORNEY

I, as attorney for the government, hereby certify that:

I have reviewed this petition to enter a plea of guilty and in my judgment, acceptance of the defendant's plea(s) of guilty to the charge(s) in question will not undermine the statutory purposes of sentencing.

Signed by me this 15th day of February.



Michael Rorj
Attorney for the Government

DECLARATION OF INTERPRETER

I, _____, have served as interpreter in _____ (language) for the defendant in this matter, and:

1. I sight translated for the defendant, or interpreted between the defense attorney and the defendant, the following: (X all which apply)

Indictment
 Waiver of indictment
 Information
 Petition to enter a plea of guilty
 Plea agreement
 Other

2. I, sight translated the above documents for the defendant in the presence of the attorney on the following occasions: (Insert all dates applicable) _____

3. During the session (s) set forth above, I interpreted the remarks of the defendant's attorney to the defendant, the responses of the defendant to the attorney, all the questions the defendant asked and all the responses from the attorney.

4. The defendant's answers are consistent with the questions asked by the defendant's attorney.

5. I am proficient in the _____ (language understood by the defendant) and English languages and I am able to accurately sight translate written matters and interpret oral conversation in the aforementioned languages.

I declare under penalty of perjury that the foregoing is true and correct. Executed on this _____ day of _____, _____.

Interpreter